



ADOGRC
Your professional GRC Suite



Governance, Risk & Compliance with ADOGRC | An Outlook to ADOGRC's Future

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BOC Group



CHANGE IS THE ONLY CONSTANT *Heraclitus*



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LEARNING TO LIVE WITH CONSTANT CHANGE

REGULATORY CHANGE

EXTERNAL CHANGE

INTERNAL CHANGE





CHANGE LEAVES NO STONE UNTURNED

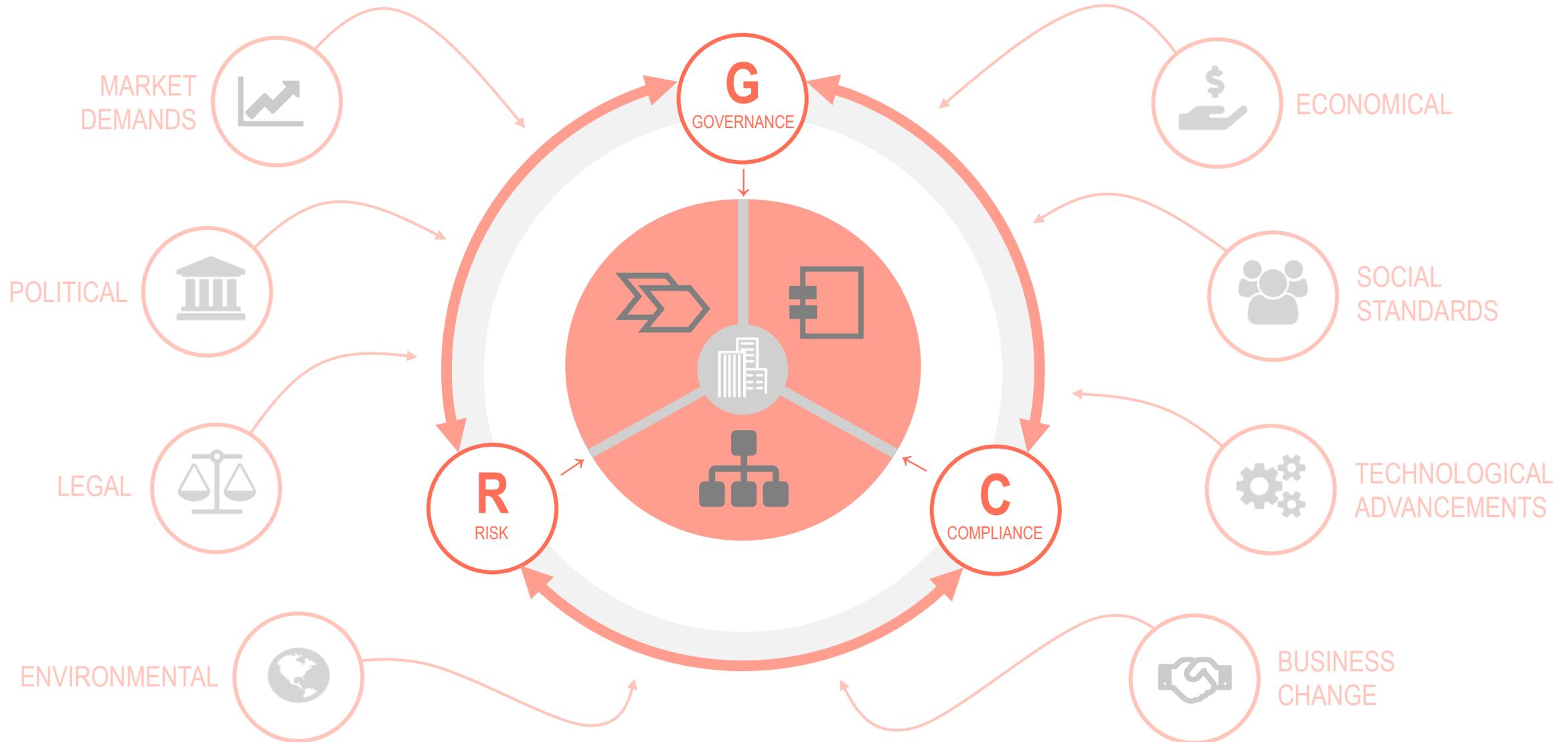
PROCESSES

IT-SYSTEMS

ORGANIZATION



STAYING ON TOP OF CHANGE





RESILIENCE IS THE KEY TO SUCCESS

GRC

ENABLES AN ORGANIZATION TO (G) RELIABLY ACHIEVE OBJECTIVES, (R) ADDRESS UNCERTAINTY AND (C) ACT WITH INTEGRITY.

GOVERNANCE

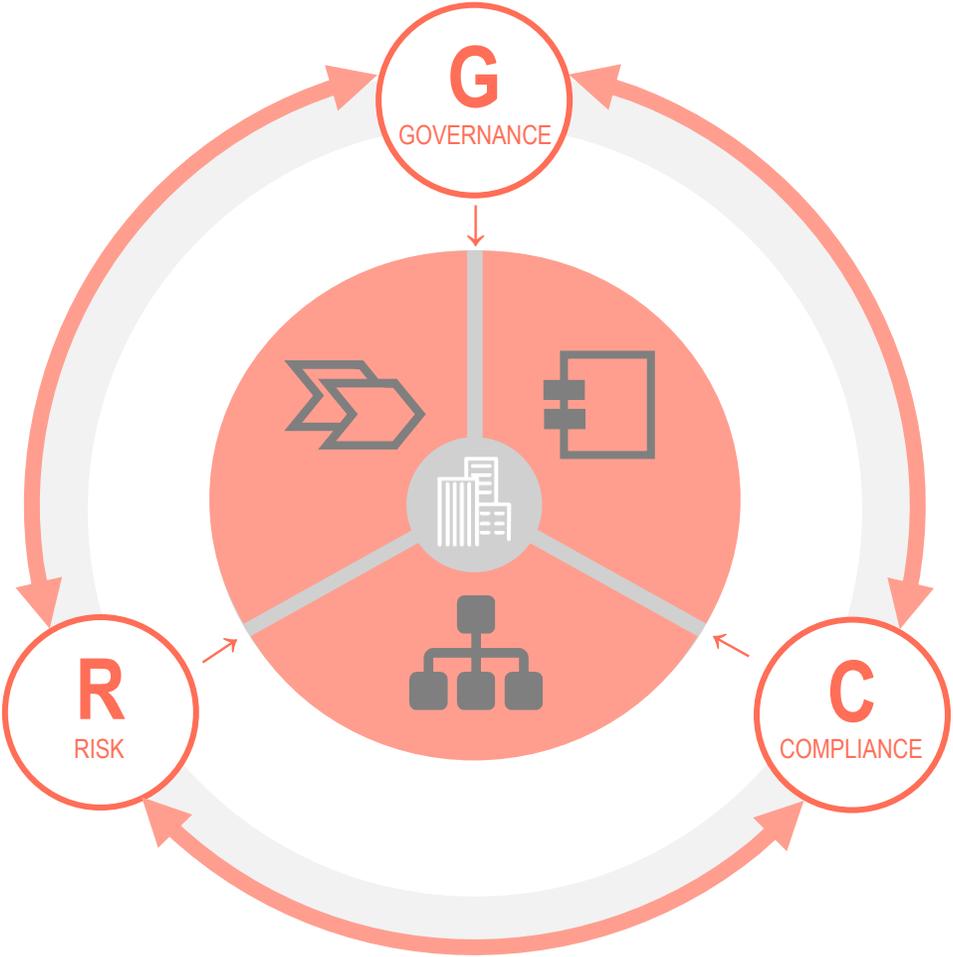
SETS DIRECTION AND STRATEGY FOR THE ORGANIZATION TO RELIABLY ACHIEVE OBJECTIVES.

RISK MANAGEMENT

IDENTIFICATION, ASSESSMENT AND MONITORING OF RISKS TO EITHER ACCEPT, AVOID, MITIGATE OR TRANSFER THEM.

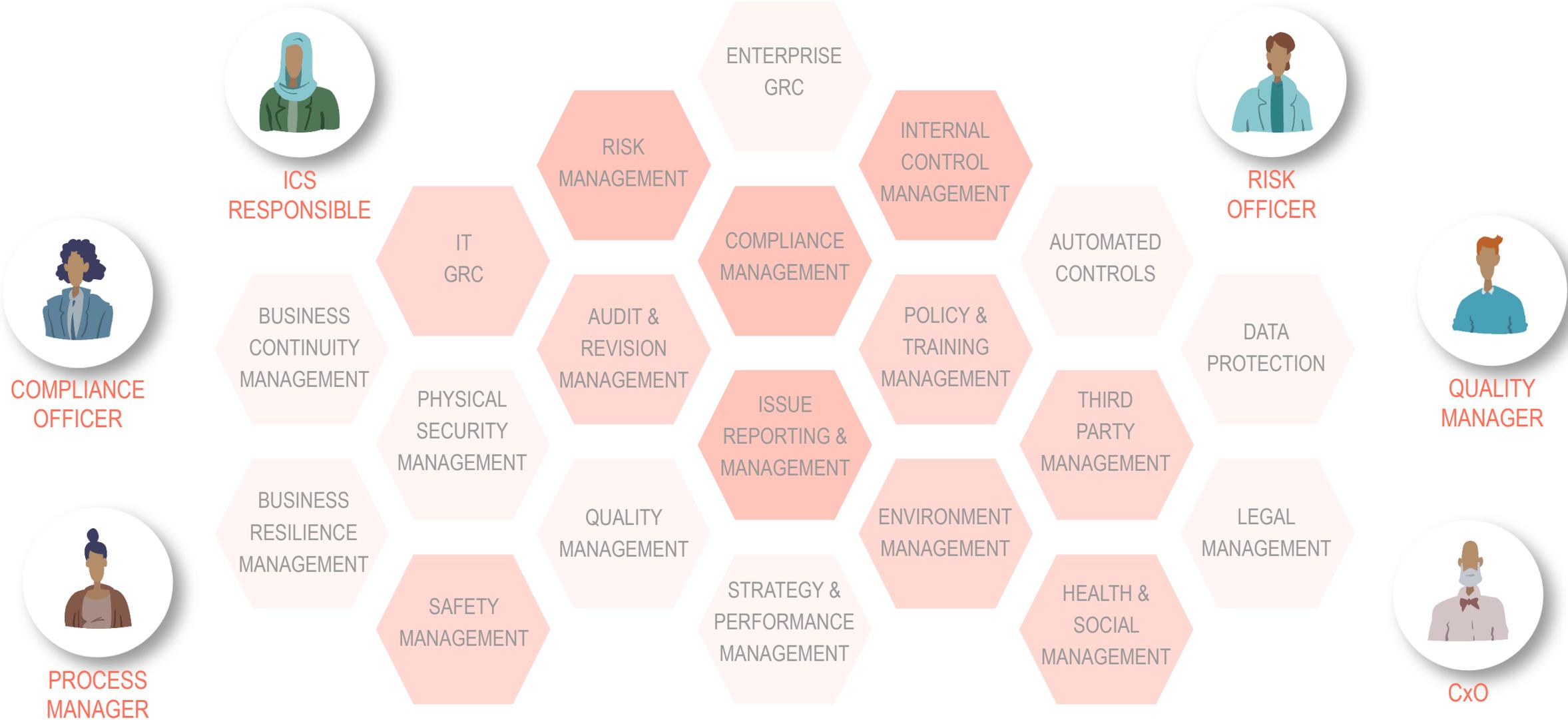
COMPLIANCE

ENSURES THAT THE ORGANIZATION ACTS WITH INTEGRITY IN FULFILLING ITS REGULATORY, CONTRACTUAL AND SELF-IMPOSED OBLIGATIONS.





GO INTO DETAILS TO REVEAL THE BIGGER PICTURE



ANSWERS TO THE KEY QUESTIONS

WHAT RISKS AFFECT MY ASSETS?

ARE WE COMPLYING WITH ALL RULES & REGULATIONS?

ARE ALL RISKS PROPERLY MANAGED & COVERED?

HOW DO WE ENSURE EFFECTIVENESS & TRANSPARENCY?

WHAT'S THE CURRENT STATE OF OUR PORTFOLIOS?

HOW CAN TECHNOLOGY HELP US TO ACCOMPLISH THIS?



COMPLIANCE
OFFICER



PROCESS
MANAGER



ICS
RESPONSIBLE



QUALITY
MANAGER



RISK
OFFICER

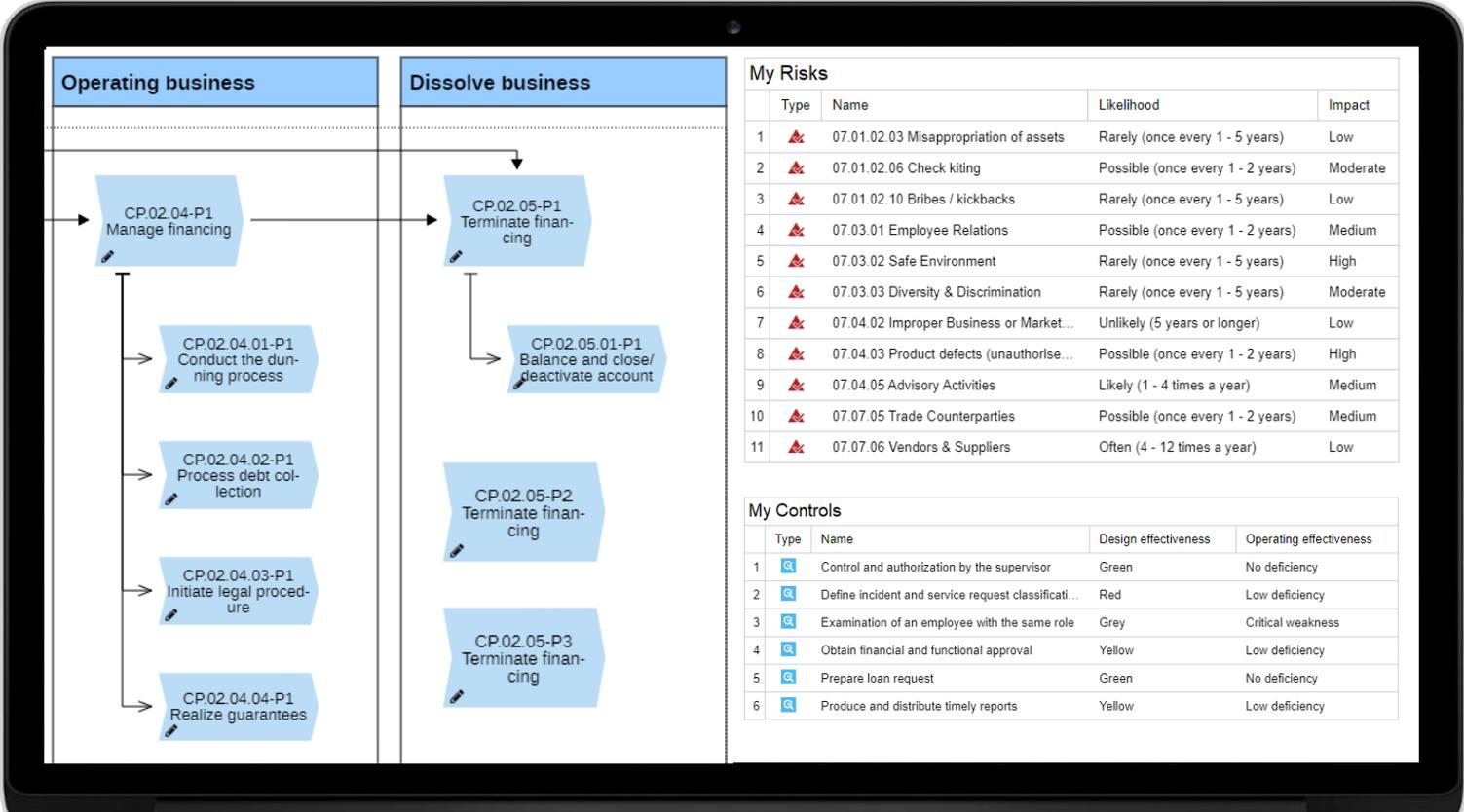


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WHAT ARE MY ASSETS, RISKS & CONTROLS?



Operating business	Dissolve business
<p>CP.02.04-P1 Manage financing</p> <ul style="list-style-type: none"> CP.02.04.01-P1 Conduct the dunning process CP.02.04.02-P1 Process debt collection CP.02.04.03-P1 Initiate legal procedure CP.02.04.04-P1 Realize guarantees 	<p>CP.02.05-P1 Terminate financing</p> <ul style="list-style-type: none"> CP.02.05.01-P1 Balance and close/deactivate account CP.02.05-P2 Terminate financing CP.02.05-P3 Terminate financing

My Risks

Type	Name	Likelihood	Impact
1	07.01.02.03 Misappropriation of assets	Rarely (once every 1 - 5 years)	Low
2	07.01.02.06 Check kiting	Possible (once every 1 - 2 years)	Moderate
3	07.01.02.10 Bribes / kickbacks	Rarely (once every 1 - 5 years)	Low
4	07.03.01 Employee Relations	Possible (once every 1 - 2 years)	Medium
5	07.03.02 Safe Environment	Rarely (once every 1 - 5 years)	High
6	07.03.03 Diversity & Discrimination	Rarely (once every 1 - 5 years)	Moderate
7	07.04.02 Improper Business or Market...	Unlikely (5 years or longer)	Low
8	07.04.03 Product defects (unauthorise...	Possible (once every 1 - 2 years)	High
9	07.04.05 Advisory Activities	Likely (1 - 4 times a year)	Medium
10	07.07.05 Trade Counterparties	Possible (once every 1 - 2 years)	Medium
11	07.07.06 Vendors & Suppliers	Often (4 - 12 times a year)	Low

My Controls

Type	Name	Design effectiveness	Operating effectiveness
1	Control and authorization by the supervisor	Green	No deficiency
2	Define incident and service request classificati...	Red	Low deficiency
3	Examination of an employee with the same role	Grey	Critical weakness
4	Obtain financial and functional approval	Yellow	Low deficiency
5	Prepare loan request	Green	No deficiency
6	Produce and distribute timely reports	Yellow	Low deficiency

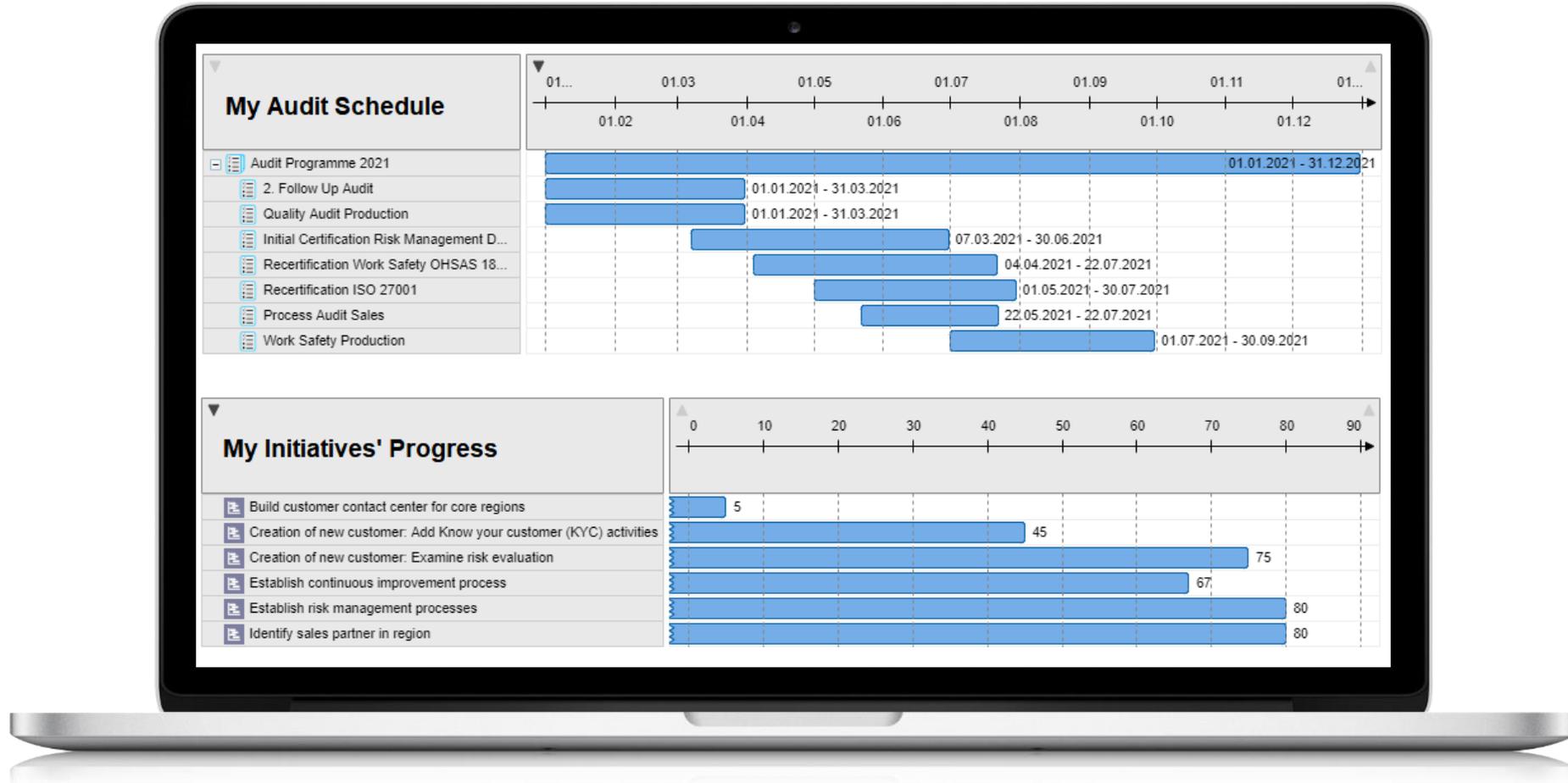
ARE ALL RISKS PROPERLY MANAGED & COVERED?



DO I NEED TO TAKE ACTION?



WHAT IS OUR CURRENT FOCUS AND NEXT TASK?

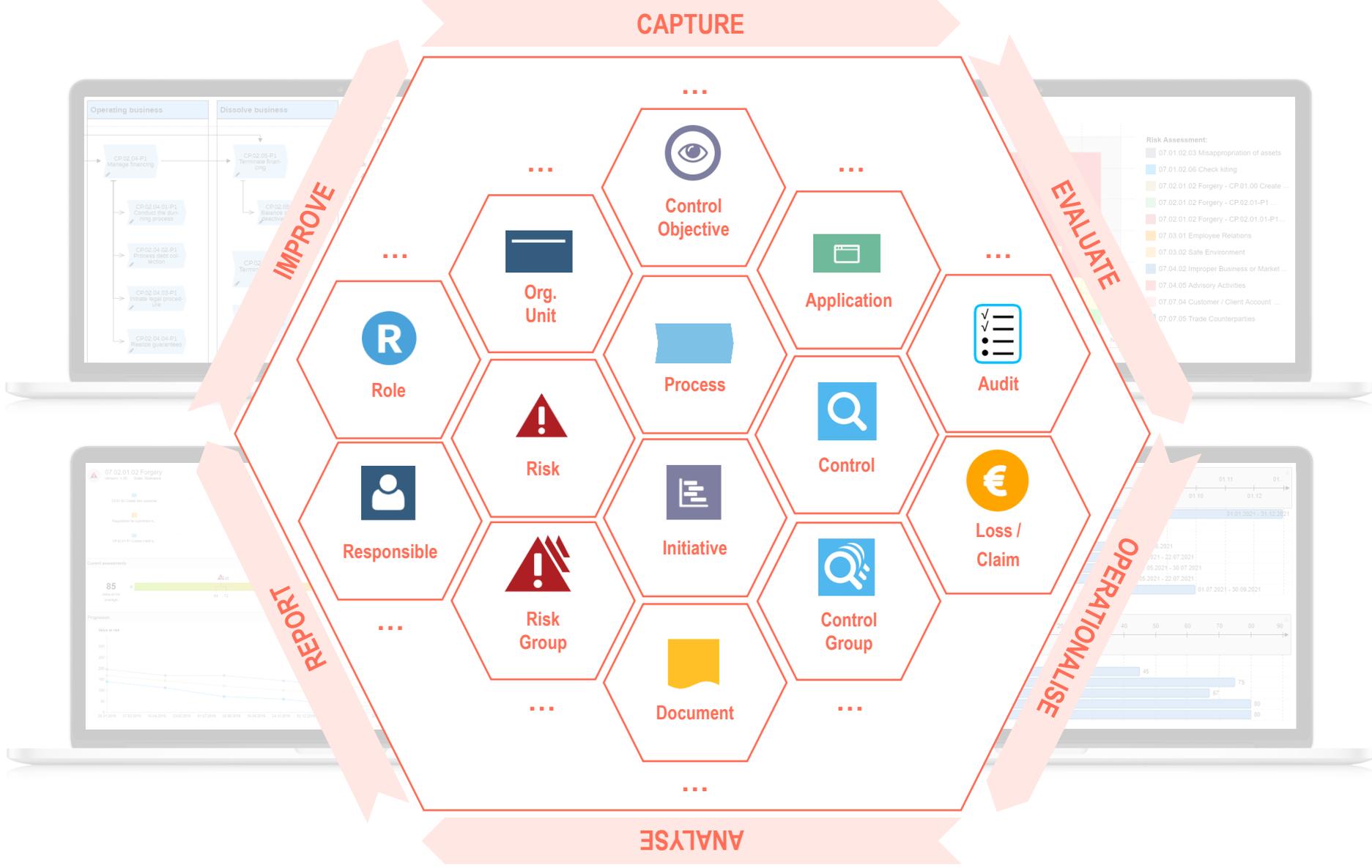


ARE WE COMPLYING WITH ALL RULES & REGULATIONS?

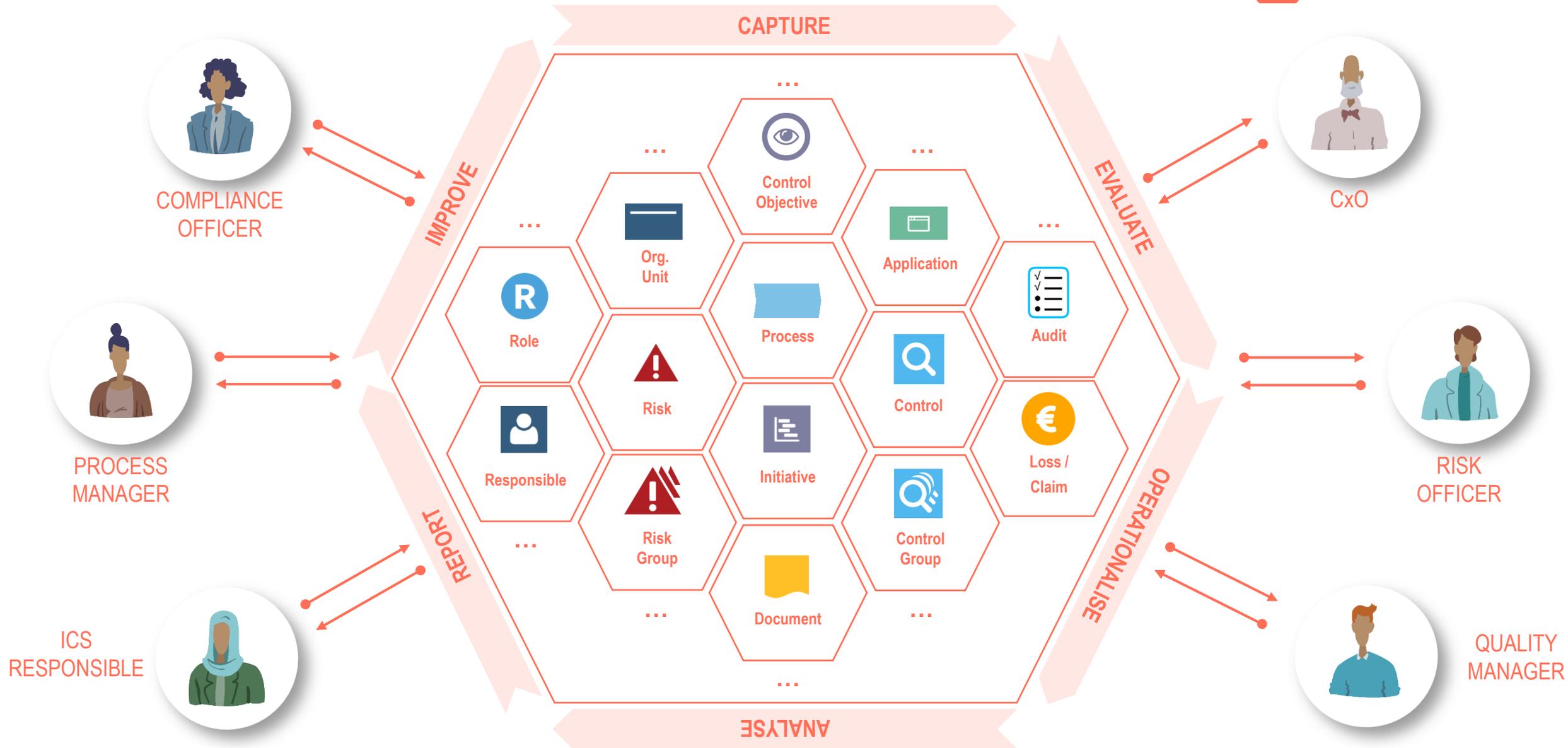
My Compliance Requirements	Compliance with KYC requirements (Mone...	Comply with relevant guidelines and measu...	Customer orientation (ISO 9000)	Effectiveness and Efficiency of account...	Efficiency of the ICS	Ensuring compliance in Accounting	Finances are being managed (EFQM)	Validity and completeness of fin...
Partner System (PAS)	IM IMDQO							
Application checked and approved by back office								
Internal instructions from the management 0.01								
Accounting/ balance sheet/ taxes								
Risk Management								
CP.01 Advise Customer 0.01								
CP.01.00 Create new customer 0.01	IM IMDQO							
CP.02.01.01-P1 Prepare credit request 0.01								
MP.05 Manage Investment Portfolio 0.01								
MP.07 Manage Risks 0.01								
SP.06 Accounting 0.01								



MATURE. GROW. EXTEND.



THE WHOLE IS GREATER THAN THE SUM OF ITS PARTS Aristotle





ADOGRC is your perfect tool...

to **kick-start your GRC Initiative** –
from Internal Control, Risk Management & Audits
to a fully Integrated Management System.

Start small, grow continuously, integrate smoothly –
with ADOGRC you avoid Management Silos and
benefit from an integrated GRC and a SSOT.

Its smart Workflows and strong Analytics-Capabilities ensure
efficient Task Management, **increase Transparency**
and help **drive Organizational-Development**.

... but it's so much more than Software!

ADOGRC – SO MUCH MORE THAN SOFTWARE

PLATFORM

From GRC, BPM & EAM to a fully integrated Management Solution. Risks, Controls, Processes and Organisation. Everything under control.



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From GRC, BPM & EAM to a fully integrated Management Solution. Risks, Controls, Processes and Organisation. Everything under control.

ECOSYSTEM

Extend. Enhance. Integrate. Make ADOGRC yours with our powerful Integrations, Extensions & Modules.



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KNOWLEDGE

Consulting & Support.
Personal. Experienced. Knowledgeable.
Access our worldwide Network of Experts.





OUR JOURNEY HAS ONLY JUST BEGUN.



ADOGRC

Your professional GRC Suite

SINGLE GRC-PLATFORM

Pick and choose your Methods and Standards freely – ADOGRC adapts your unique Terminology with ease and suits every Approach.

SEAMLESS INTEGRATION

Easily embed ADOGRC in your existing IT Landscape and your Stakeholder's Workplaces. Supported out-of-the-box. No need for programming.

CONTENTS & TEMPLATES

Get started right away with Reference-Catalogues, Samples & Contents. Save time and cover all state-of-the-art standards.

#GBIS2021

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